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# NOTICE OF ALLOWANCE AND FEE(S) DUE

1473

7590

12/20/2007

ROPES & GRAY LLP PATENT DOCKETING 39/361 1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036-8704 EXAMINER

GUZO, DAVID

ART UNIT PAPER NUMBER

1636

DATE MAILED: 12/20/2007

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/698,160	10/30/2003	De Chao Yu	105576-0029-101	9850

TITLE OF INVENTION: CELL-SPECIFIC ADENOVIRUS VECTOR COMPRISING EBV-SPECIFIC PROMOTER

APPLN. T	PE SMALL ENT	ITY ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisi	onal YES	\$720	\$300	\$0	\$1020	03/20/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further ndicated unless correcte maintenance fee notificat	ed below or directed oth	ng the Patent, advance of nerwise in Block 1, by (a	rders and notification of r a) specifying a new corre	naintenance fees w spondence address;	vill be and/or	mailed to the current or (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				(s) Transmittal. Thi ers. Each additiona	s certif I paper	g can only be used for ficate cannot be used for such as an assignmen iling or transmission.	domestic mailings of the or any other accompanying at or formal drawing, must
	KETING 39/361 OF THE AMERICA			Cer	tificate	e of Mailing or Transn	nission deposited with the United class mail in an envelope above, or being facsimile te indicated below.
NEW YORK, N	1 10030-8704			·			(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/698,160	10/30/2003		De Chao Yu	105576-0029-101		5576-0029-101	9850
			OMPRISING EBV-SPECI	T		·	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0 •		\$1020	03/20/2008
EXAM	INER	ART UNIT	CLASS-SUBCLASS	J			
GUZO,	DAVID	1636	435-320100				
. Change of correspondence address or indication of "Fee Address" (37 IFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.  ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON			(1) the names of up to or agents OR, alternati (2) the name of a singl registered attorney or a 2 registered patent atto listed, no name will be	2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			
PLEASE NOTE: Unl	ess an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assignee		atent. If an assigne assignment.			cument has been filed for
lease check the appropri	iate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🗆 Co	rporati	ion or other private grou	up entity    Government
	are submitted: To small entity discount p	permitted)	b. Payment of Fee(s): (Plead   A check is enclosed. Payment by credit can   The Director is hereby overpayment, to Depo	rd. Form PTO-2038	is atta	iched. required fee(s), any def	ŕ
Change in Entity Stat	tus (from status indicated s SMALL ENTITY state	•	☐ b. Applicant is no lon	ger claiming SMAI	I EN'	TITY status See 37 CF	R 1 27(g)(2)
NOTE: The Issue Fee and	d Publication Fee (if requ		d from anyone other than t				e assignee or other party in
Authorized Signature				Date			
Typed or printed name	e						
This collection of inform in application. Confident ubmitting the completed	ation is required by 37 C tiality is governed by 35 I application form to the	U.S.C. 122 and 37 CFR U.S.C. 122 and 37 CFR USPTO. Time will vary	on is required to obtain or in 1.14. This collection is est depending upon the individual of the collection of the colle	retain a benefit by the timated to take 12 revidual case. Any co	he publ ninutes mment	lic which is to file (and s to complete, including ts on the amount of tim	by the USPTO to process) g gathering, preparing, and he you require to complete

this form and/or suggestions for reducing this burden, should be sent to the Unier information Officer, U.S. Patent and Trademark Office, U.S. Department of Commission, 1.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION 1	NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/698,160		10/30/2003	De Chao Yu	105576-0029-101	9850		
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	PATENT DOCKETING 39/361			ART UNIT	PAPER NUMBER		
1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036-8704				1636 DATE MAILED: 12/20/200	7		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 519 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 519 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.